

CERTIFICATE COURSES ON CAPITAL MARKET & STOCK BROKING

Objectives: The objective of the course is to give knowledge on capital market and stock broking in details so that the learners can consider stock broking as a career option.

Course outcome: The learners will be able to equip themselves with detail knowledge about capital market, its structure and even choosing Stock Broking as a career. This will allow the learners to take stock broking as a profession, understand basic broking mechanisms, its legal framework, thus helping the learners to know much about the Capital market and its functionaries in the country.

Fees: Rs. 500/- for each level

Minimum Eligibility Criteria:

1. Class XII pass
2. Any person having knowledge in finance

Method of teaching: Online

Method of Assessment: 100 marks for each level (20 marks internal assessment from case studies analysis and home assignment + 80 marks from online examination)

Level I :Basics of Capital Market and its Functionaries

Course duration: 30 hours

Syllabus: Capital Market & its Constituents- Meaning, types of capital markets, its sub markets and their present existence. Dealers, Participants, and Procedure of dealing in the Capital market ; Instruments of Capital Market & the Current Scenario- Traditional and modern instruments of capital markets, their applicability, industries & institutions concern dealing with such instruments. Online purchase and sale of instruments, different mode of purchase and sale.

Level II - Basics of Stock Broking & its Regulation in India

Course duration: 30 hours

Syllabus: Stock Broking, its concept &important regulations of SEBI ; Concept, Meaning of Stock Broking, Broker, Sub Broker Its functions & duties, SEBI Regulations for Stock Broker, NSE membership, Surrender of trading membership, Suspension & expulsion of membership, Declaration of defaulter.

Level III : Stock Broking Operations and Challenges

Course duration: 30 hours

Syllabus :Stock Broker and their Challenges in current scenario, authorized person, broker-clients relations, Code of Advertisement for Brokers, Role of Stock Broker, Challenges of a Stock Broker, Broking as a Profession, Stock Broking Firms in India and their current activities.

Important Note:

Candidates enrolling in the above certificate course will get the Certificate of completion only after he or she completes all the modules. After successful completion of each module candidates will be issued scorecards. Candidates completing the entire four modules will have the Final Certificate and Grades after averaging all the scores in the respective modules he or she have earned. Candidates opting for such course will also be assessed on Home Assignments submission and Case Studies analysis after each module